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FACSIMILE COVER SHEET

Examiner: Bao Q. Li**Group:** 1648**Date:** September 22, 2003**Client Code:** 0399**Facsimile No.:** 1-703-746-7454**From:** Anne J. Collins, Esq. *ajc***Subject:** Paper: Amendment filed on June 2, 2003, and related papers**Docket No.:** 0399.2006-003**Applicants:** Qian Huang, *et al.***Serial No.:** 09/761,534**Filing Date:** January 16, 2001Number of pages including this cover sheet: 40Please confirm receipt of facsimile: Yes No **Comments:**

As requested.

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AJC:jcc
06/02/03

PATENT APPLICATION
Docket No.: 0399.2006-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Qian Huang, Joan F.J., Richmond, Bryan K. Cho, Deborah Palliser, Jianzhu Chen,
Herman N. Eisen and Richard A. Young

Application No.: 09/761,534 Group Art Unit: 1648

Filed: January 16, 2001 Examiner: Bao Q. Li

Confirmation No.: 5869

For: In Vivo CTL Elicitation by Heat Shock Protein Fusion Proteins Maps to a Discrete Domain and
is CD4⁺ T Cell-Independent

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
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Date	Jenine Crump
_____ Signature	
Jenine Crump	
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TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In reply to the Office Action mailed from the U.S. Patent and Trademark Office on December 3, 2002, transmitted herewith are formal drawings consisting of 12 sheets, figures 1A-1C, 2A-2B, 3, 4, 5A-5C, 6A-6C, 7, 8A-8C, 9A-9C, 10A-10C, 11, 12, 13A-13B and 14 for filing in the subject application.

Acceptance of the formal drawings is respectfully requested.

Respectfully submitted,
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Anne J. Collins
Anne J. Collins
Registration No.: 40,564
Telephone: (978) 341-0036
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date: June 2, 2003

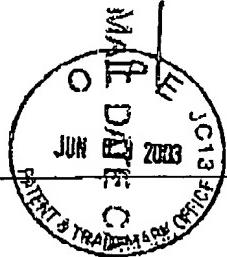
A.I.C:jcc

Docket No.: 0399.2006-003

Date: June 2, 2003

This is to acknowledge receipt of the following documents each filed under Certificate of Mailing Procedure 37 C.F.R. 1.8:

- Amendment Fee Letter w/copy Pet Ext Time w/copy (3 month)
 AMENDMENT
 AMENDMENT AFTER FINAL ACTION
 Marked Up Version of Amendments (i -ii)
 Check for \$609.00 Auth to charge fees
 Transmittal of Formal Drawings
 DRAWINGS [12] Sheets
 Other Change of Correspondence Address



Applicant: Qian Huang, et al.

Application No.: 09/761,534 Filed: January 16, 2001

Title: In Vivo CTL Elicitation by Heat Shock Protein Fusion Proteins...

Date received by the PTO:

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ADMINISTRATOR OF
PATENT AND
TRADEMARK PRACTICE

Via Facsimile

Examiner Bao Q. Li
U.S. Patent and Trademark Office
Washington, D.C. 20231

September 22, 2003

Rc: U.S. Application No.: 09/761,534
U.S. Filing Date: January 16, 2001
Title: In Vivo CTL Elicitation by Heat Shock Protein Fusion Proteins
Maps to a Discrete Domain and is CD4⁺ T Cell-Independent
Inventors: Qian Huang, Joan F.L. Richmond, Bryan K. Cho,
Deborah Palliscer, Jianzhu Chen, Herman N. Eisen and
Richard A. Young
Group Art Unit: 1648
Confirmation No.: 5869
Our Docket No.: 0399_2006-003

Dear Examiner Li:

In accordance with our telephone conversation today, as requested
enclosed please find the following document, all of which were filed with the
U.S. Patent Office on June 2, 2003:

1. Postcard receipt date stamped by the U.S. PTO
2. Petition for Extension of Time
3. Amendment Fee Letter
4. Amendment A (pp. 1-17,
with marked up version of amendments (pp. i-ii)
5. Change of Correspondence Address
6. Transmittal of Formal Drawings
7. Formal Drawings, 12 Sheets, Figures 1A-1C, 2A-2B, 3, 4, 5A-5C, 6A-6C, 7, 8A-8C, 9A-9C, 10A-10C, 11, 12, 13A-13B and 14

Please contact me after you have had a chance to review the enclosed
documents.

Very truly yours,

Anne J. Collins
Anne J. Collins

Registration No.: 40,564

AJC:jcc(amg)
Enclosures

JUN 5 2003
U.S. PATENT & TRADEMARK OFFICE
23 USA
Central Office - New York, NY

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CONCORD, MASSACHUSETTS 01742-9133

33

@PFI\eskin\p\ODMA\MHODMA\IMANAGE;398980;1
PATENT APPLICATION

DOCKET NO.: 0399.2006-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Qian Huang, Joan F.L. Richmond, Bryan K. Cho, Deborah Palliser, Jianzhu Chen,
Herman N. Eisen and Richard A. Young

Application No.: 09/761,534 Group Art Unit: 1648

Filed: January 16, 2001 Examiner: Bao Q. Li

Confirmation No.: 5869

For: In Vivo CTL Elicitation by Heat Shock Protein Fusion Proteins Maps to a Discrete Domain and is
CD4+ T Cell-Independent

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on <u>6-2-03</u>	<u>Jenine Crump</u>
Date	Signature
Jenine Crump	
Typed or printed name of person signing certificate	

PETITION FOR EXTENSION OF TIME

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant hereby petitions the Commissioner for Patents to extend the time for filing a Reply to the Office Action dated December 3, 2002 for three month(s) from March 3, 2003 to June 3, 2003.

	<u>Small Entity</u>	<u>Other than Small Entity</u>
1 month -	\$ 55	\$ 110
2 months -	\$ 205	\$ 410
3 months -	X \$ 465	\$ 930
4 months -	\$ 725	\$1,450
5 months -	\$ 985	\$1,970

- [X] A check is enclosed in the amount of the extension fee indicated above, or the extension fee has been included in the check with the accompanying Reply.
 Please charge Deposit Account No. 08-0380 in the amount of \$[] to cover the cost of the extension fee.

Any deficiency or overpayment should be charged or credited to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Anne J. Collins
Anne J. Collins
Registration No.: 40,564
Telephone (978) 341-0036
Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133
Dated: June 3, 2003

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AJC:jcc
06/2/03

PATENT APPLICATION
OCKET NO. 0399.2006-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Qian Huang, Joan F.L. Richmond, Bryan K. Cho, Deborah Palliser, Jianzhu Chen, Herman N. Eisen and Richard A. Young

Application No.: 09/761,534 Group Art Unit: 1648

Filed: January 16, 2001 Examiner: Bao Q. Li

Confirmation No.: 5869

Title: In Vivo CTL Elicitation by Heat Shock Protein Fusion Proteins Maps to a Discrete Domain and is CD4+ T Cell-Independent

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on	<u>6-2-03</u>
Date	<u>Jenine Crump</u>
Signature	
<u>Jenine Crump</u>	
Typed or printed name of person signing certificate	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is Amendment for filing in the above-identified application.

- Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.

A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

(COL. 1)		(COL. 2)		(COL. 3)	SMALL ENTITY		OTHER THAN SMALL ENTITY		
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDT. FEE	RATE	ADDT. FEE	
TOTAL.	51	MINUS	*	35	16	X \$ 9	\$ 144	X \$18	\$
INDEP	3	MINUS	**	3	0	X \$42	\$ 0	X \$84	\$
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM									
* not fewer than 20									
** not fewer than 3									
					TOTAL = \$ 144		TOTAL = \$ 0		
OR									

* not fewer than 20

** not steeper than 3

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09/761,534

-2-

Please charge Deposit Account No. 08-0380 for the following fees:

Petition for [] month Extension of Time \$ _____
 Amendment Fee \$ _____
 Other Fees:

A check is enclosed in payment of the following fees:

[X] A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Anne J. Collins
Anne J. Collins
Registration No.: 40,564
Telephone (978) 341-0036
Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133

Dated: June 3, 2003